

1615 IFW

Docket No.: 04079/100H629-US2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Maria-Grazia Ascenzi, et al.

Serial No.:

09/981,684

Filed:

October 17, 2001

For:

SYSTEM AND METHOD FOR MODELING BONE STRUCTURE

May 12, 2004

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- 1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:(Check one of the boxes A-D)
  - []A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
  - [X] B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
  - [] C. after (A) and (B) above, but before the mailing date of a final rejection, a notice of allowance, or any other action that closes prosecution, and Applicants have made

the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(check one of the boxes "i" and "ii" below:)

- [] i. Counsel states that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
- [] ii. A check for the fee set forth in 1. 17(p), presently believed to be \$180, is enclosed.
- [] D. after (A), (B) and (C) above, but before payment of the issue fee: Counsel states that, upon information and belief, each item of information listed herein was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS; or (ii) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
  - [] i. A check for the fee set forth in 1.17 (p), presently believed to be \$180, is enclosed.
- 2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO/SB/08) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A, B and/or C and fill in blanks, if appropriate.).

[] A.	Pursuant to the Notice issued by the United States Patent and Trademark
	Office dated July 11, 2003 waiving the requirements of 37 C.F.R. §
	1.98(a)(2)(i), a copy/copies of the United States Patent on PTO/SB08
	is/are not being submitted.

- [] B. Document(s) \_\_\_\_\_\_ is (are) deemed substantially cumulative to document(s) \_\_\_\_\_, and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.
- [] C. Certain documents were previously cited by or submitted to the Office in the following prior applications, which are relied upon under 35 U.S.C. 120:

[SERIAL NO. & FILING DATE].

Applicant identifies these documents by attaching hereto copies of the forms PTO-892 and PTO/SB08 from the files of the prior application(s) or a fresh PTO/SB/08 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application.

[] 3. Docume	nt(s) are not in the English language. In accordance with
1.98(c), Applicant st	ates:
[]	An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application is enclosed.
[]	A concise explanation of the relevance of document(s) is found in the attached search report (see MPEP § 609 A(3)x).
	A concise explanation of the relevance of document(s) is set forth as follows: [Insert concise explanation of relevance]
[]	A concise explanation of the relevance of document(s) can be found on page(s) of the specification.
<u>[]</u>	A concise explanation of document(s) can be found on the attached sheet.
[] 4. No explain MPEP § 609 A(3)).	anation of relevance is necessary for documents in the English language (see
[] 5. Other in	formation being provided for the examiner's consideration follows: [A/An
	Search Report, dated, which issued during the prosecution of
App	lication No which corresponds to the present application.]
6. In accorda	ance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be
construed as a repre	esentation that a search has been made or that information cited is, or is
considered to be, ma	aterial to patentability as defined in §1.56 (b), or that any cited document
listed or attached is (	or constitutes) prior art. Unless other-wise indicated, the date of publication
indicated for an item	is taken from the face of the item and Applicant reserves the right to prove

that the date of publication is in fact different.

## CROSS REFERENCE UNDER 37 C.F.R. §1.78 TO RELATED APPLICATIONS

Pursuant to 37 C.F.R. § 1.78, Applicant	notes that the above-identified patent application
may be related to the following U.S. Patent Appl	lications:
(1) U.S. Patent Application Serial No	, filed
Early and favorable consideration is earn	estly solicited.
	Daniel AC-III- and an Au-A
	Respectfully submitted,

May 12, 2004

Kristin E. Behrendt Registration No. 45,599 Attorney for Applicants

DARBY & DARBY P.C. 805 Third Avenue New York, N.Y. 10022 (212) 527-7700



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
duction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

	Complete if Known
Application Number	09/981,684-Conf. #6620
Filing Date	October 17, 2001
First Named Inventor	Maria-Grazia Ascenzi, et al.
Art Unit	1615
Examiner Name	K. Thangavelu
Attorney Docket Number	04079/100H629-US2

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

1

		FOREIG	ON PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Ascenzi, et al., "Distribution of collagen bundle orientation in human secondary osteons", Scanning, Vol. 26, 2 (2004), pp. 90-91.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



Application No. (if known): 09/981,684

Attorney Docket No.: 04079/100H629-US2

# **Certificate of Express Mailing Under 37 CFR 1.10**

MS Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

on May 12, 2004 Date

Signature
Signature
Typed of printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Information Disclosure Statement (4 pages) PTO SB/08 (1 page) 1 Document Return Postcard